

# **ROYAL SAINT LUCIA TURF CLUB**



## **RULES OF RACING**

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## **(1) SHORT TITLE AND COMMENCEMENT**

These Rules may be cited as the Royal Saint Lucia Turf Club's Rules of Racing and shall include the Regulations and any Notices issued from time to time and shall come into operation on October 15,2019.

## **(2) PERSONS BOUND BY THESE RULES**

- (1) Every person who takes part in any matter or activity coming within these Rules agrees to be bound by them.
- (2) All persons to whom these Rules apply shall be deemed to have notice of these Rules and all Regulations and Notices made thereunder. Such persons shall be deemed to have notice of their rights, liabilities and obligations hereunder and shall further be deemed to have agreed to be bound by the decisions and acts of tribunals and persons authorised under these Rules to act and to give decisions in respect of any acts or omissions done while these Rules apply to them regardless of whether, at the time when such decisions and acts of the abovementioned tribunals and authorised persons are given or done.
- (3) All persons coming within the application of these Rules shall be deemed to have agreed not to seek any remedy available in law in a court of law in respect of anything done or omitted to be done under these Rules until and unless they have exhausted all remedies provided by or under these Rules in respect of anything done or omitted to be done.

## **(3) LIABILITY**

- (1) The Royal Saint Lucia Turf Club shall in no way be held liable to any person for any loss or damage arising, whether directly or indirectly, from any act or lawful exercise of their powers or duties under these Rules, including all things done in the bona fide belief of the existence of such powers or duties.
- (2) **Gaming, Racing and Betting Act** is the enabling legislation permitting pari-mutuel racing and wagering in this jurisdiction.

## **(4) GENERAL DEFINITIONS**

Purpose: To provide definitions for commonly used terms in the Rules of Racing and the Regulations of Racing. These definitions are used in all of the Rules adopted by the Royal Saint Lucia Turf Club.

**“Added Money”** is the amount exclusive of a trophy added into a stakes by the Club, or by sponsors, or other funds added to those monies gathered by nomination, entry, sustaining and other fees coming from the Owners of racing animals

**“Administer or Administration”** is the introduction of a substance into the body of a horse.

**“Age”** of a horse foaled in the Northern Hemisphere shall be reckoned from the first day of January of the year of foaling, and in the Southern Hemisphere as the first day of August in the year of foaling.

**“Appeal”** is a request made to the Royal Saint Lucia Turf Club, for the Appeals Panel to investigate, consider and review any decisions or rulings of Stewards of a meeting.

**“Approved Therapeutic Medication”** is any medication approved by the Club for which the regulatory analyte concentration in the sample(s) may not exceed specified regulatory limits published herein.

**“Authorised Agent”**, is a person registered by the Royal Saint Lucia Turf Club and appointed by a written instrument, signed and acknowledged before a witness by the Owner on whose behalf the agent will act.

**“Bleeder”** means a horse which has demonstrated external evidence of exercise induced pulmonary haemorrhage (EIPH), or the existence of haemorrhage in the trachea post exercise upon endoscopic examination.

**“Bleeder List”** means a tabulation of all bleeders to be maintained by the Royal Saint Lucia Turf Club.

**“Blinkers”** means a device fitted over a horse's head with holes for the eyes and ears; one or both of the eye-holes being fitted with cowls, cutting out all vision to the rear but permitting full forward vision.

**“Breeder”** means the Owner of the horse's dam at the time of foaling.

**“Business Day”** is a day other than Saturday or Sunday or public holiday.

**“Carrying your assigned weight to the line”** means that the Jockey and all the equipment with which he weighed out must be intact with the horse when it crosses the finish line and no part of the Jockey's body must have touched the racing surface.

**“Cheek Piece”** is two strips of sheepskin or any other similar material that is attached to the cheek pieces of the bridle.

**“Club”** - is the Royal Saint Lucia Turf Club.

**“Club Grounds”** means all real property utilised by the Club in the conduct of its race meeting, including the race track, grandstand, concession stands, offices, barns, stable area, employee housing facilities and parking lots, and any other areas under the jurisdiction of the Royal Saint Lucia Turf Club.

**“Complaint”** is a written allegation of a violation of these Rules.

**“Conditions”** are qualifications which determine a horse's eligibility to be entered in a race.

**“Condition Race”** is any race which is not a handicap

**“Contest”** is a competitive racing event on which pari-mutuel wagering is conducted.

**“Contestant”** is an individual participant in a contest.

**“Day”** is a 24-hour period ending at midnight.

**“Dead Heat”** means the finish of a race in which the noses of two or more horses reach the finish line at the same time.

**“Declaration”** means the act of withdrawing an entered horse from a race prior to the closing of entries.

**“Defaulter”** means a person in arrears.

**“Deposit”** means any sum stipulated in the Rules be paid to the Royal Saint Lucia Turf Club by persons lodging an objection or appeal.

**“Disqualified Person”** means a person who has been warned-off by the Royal Saint Lucia Turf Club for a breach of these Rules.

**“Draw”** means the process of assigning post positions and the process of selecting contestants in a manner to ensure compliance with the conditions of the Rules of racing.

**“Ejection”** is the removal of a person from the areas under the jurisdiction of the Royal Saint Lucia Turf Club.

**“Exercise Lad/ Rider”** means a person who is registered with the Royal Saint Lucia Turf Club to exercise horses.

**“Eye Shield”** means a device similar to blinkers except that in place of the eye cowls at least one eye is covered or partially covered with a mesh or other transparent material.

**“Eye Cover”** means a device similar to blinkers except that in place of the eye cowls one eye only is completely covered by an opaque cover.

**“Exhibition Race”** is a race on which no wagering is permitted.

**“False Start”** is when a horse(s) exits prematurely through the front of the gates prior to the starter officially dispatching the field regardless of cause. This does not include a horse which exits through the front of the gates and is subsequently reloaded.

**“Financial Interest”** is an interest that could result in directly or indirectly receiving a pecuniary gain or sustaining a pecuniary loss as a result of Ownership or interest in a contestant or business entity; or as a result of salary, gratuity or other compensation or remuneration from any person. The lessee and lessor of a contestant has a financial interest.

**“Flat Race”** means a race in which horses mounted by a Jockey run over a course on which no jumps or other obstacles are placed.

**“Forfeit”** is money due from a registrant because of error, fault, neglect of duty, breach of contract or a penalty imposed by the steward or the Royal Saint Lucia Turf Club.

**“Groom and Stable Lad”** means a person who is registered with the Royal Saint Lucia Turf Club to care for horses.

**“Handicap”** means a race in which the weights to be carried by the horses are assigned by the Racing Secretary and/or handicappers(s) for the purpose of equalizing the chances of winning for all horses entered.

**“Hood”** means a garment similar to blinkers incorporating ear covers but without eye cowls.

**“Horse”** means any equine (including and designated as a mare, filly, stallion, colt, ridgeling (or gelding) registered for racing; specifically, an entire or ungelded male five years of age and older.

**“Inquiry”** means an investigation by the Stewards of potential interference in a contest prior to declaring the result of said contest official.

**“Jockey”** means a professional rider registered to ride in races.

**“Jugging”** means the administration of any substance via intravenous infusion or injection in contravention to the Rules of Racing.

**“Laboratory”** is the facility designated by the Royal Saint Lucia Turf Club for testing samples.

**“Maiden”** means a horse that has never won an official or recognised race as defined in the Rules of Racing.

**“Maiden Race”** is a contest restricted to non-winners.

**“Match Race”** is a race between two or more contestants under conditions agreed to by their Owners.

**“Meeting”** means a program of races with attaching conditions fixed to be run on a specified day or specified period of days, as published provisionally by the Royal Saint Lucia Turf Club.

**“Medication”** is any substance or metabolite capable of exerting a pharmacological effect on the horse’s system with an accepted use in the diagnosis, cure, treatment or prevention of a veterinary medical condition.

**“Month”** is a calendar month.

**“No Contest”** is a race cancelled for any reason by the Stewards.

**“Nomination”** means the naming of a horse to a certain race or series of races generally accompanied by payment of a prescribed fee.

**“Nominator”** means the person or entity in whose name a horse is nominated for a race or series of races.

**“Objection”** is:

- (1) a written complaint made to the Stewards concerning a horse entered in a race and filed not later than one hour prior to the scheduled post time of the first race on the day in which the questioned horse is entered; or
- (2) a verbal and or written claim of foul in a race, lodged with the Stewards or their designee by the horse's Jockey, Trainer, Owner or the Owner's Authorised Agent before the race is declared official.

**“Official Order of Finish”** is the order of finish of the contestants in a contest as declared official by the Stewards.

**“Official Starter”** means the official responsible for dispatching the horses for a race.

**“Official Running Time”** means the elapsed time from the moment the first horse crosses the starting point until the first horse crosses the finish line.

**“Official Veterinarian”** means a graduate Veterinarian who is approved to practice in Saint Lucia and employed to provide regulatory veterinary duties.

**“Off Time”** means the moment at which, on the signal of the Official Starter, the doors of the starting gate are opened, officially dispatching the horses in each contest.

**“Owner”** is a person who holds any title, right or interest, whole or partial in a contestant, including the lessee and lessor of a contestant.

**“Owner of Sire”** means the Owner of the sire as registered with the Registry Office at time of covering. If covered by more than one sire, then it means the Owner of the sire that last covered the mare or determined by Parentage Verification (PV).

**“Paddock”** means an enclosure in which horses scheduled to compete in a contest are saddled prior to racing.

**“Pari-Mutuel Wagering”** is a form of wagering on the outcome of an event in which all wagers are pooled and held by the Club for distribution of the total amount, less the deductions authorised by law, to holders of tickets on the winning contestants.

**“Patron”** is a member of the public present on the grounds of a pari-mutuel association during a meeting for the purpose of wagering or to observe racing.

**“Performance”** is a schedule of races run consecutively as one program.

**“Person”** is any individual, partnership, corporation or other association or entity.

**“Photograph”** means the photograph taken by a camera installed by the Royal Saint Lucia Turf Club when the horses pass the winning-post.

**“Positive Test”** is a finding, certified by the Laboratory, that a regulatory analyte from a Prohibited Substance is present in the sample in an amount that exceeds the regulatory limit.

**“Post Position”** means the pre-assigned position from which a horse will leave the starting gate.

**“Post Time”** means the scheduled time for the race to begin.

**“Primary Laboratory”** is a facility designated and approved by the Royal Saint Lucia Turf Club for the testing of samples.

**“Prohibited Substance”** is any substance, other than controlled therapeutic medication, or vaccine, equine anthelmintic, antibiotic, equine feed supplement, vitamins and minerals (collectively, “horse health and husbandry products”), for which the regulatory analyte concentration in samples may not exceed specified regulatory limits published herein.

**“Program”** is the published listing of all contests and contestants for a specific performance.

**“Protest”** means a written complaint alleging that a horse is or was ineligible to race.

**“Purse”** is the total dollar amount for which a race is contested.

**“Race”** is a contest between contestants at a meeting.

**“Race Day”** is a day during a race meeting when pari-mutuel wagering is conducted on live racing.

**“Racing Official”** means a person(s) appointed by the Royal Saint Lucia Turf Club to carry out any function at any race meeting under the Rules and Regulations of Racing. For the removal of doubt, members of the Board of the Royal Saint Lucia Turf Club are not defined as Racing Officials.

**“Random Testing”** is a strategy for testing for alcohol or controlled substances not based on a reasonable belief that an individual has drugs or alcohol in his/her system, that assures that all affected individuals have an equal probability of being selected for testing.

**“Reasonable Cause/Reasonable Suspicion Testing”** is a strategy for testing for alcohol or controlled substances based on an official having good reason to believe that a registrant has alcohol or controlled substances in his/her system.

**“Recognised Authority”** is an Organisation recognised by the Royal Saint Lucia Turf Club responsible for control and regulation of horse racing in the country concerned.

**“Registrant”** is any person or entity registered by Royal Saint Lucia Turf Club to engage in racing or a regulated activity.

**“Regulatory Analyte”** is an analyte or substance identified and, where appropriate, quantified in a specified matrix for regulatory purposes.

**“Regulatory Limit”** is the concentration of a specified regulatory analyte that has been defined and published by the Royal Saint Lucia Turf Club, such that exceeding the specified concentration is a positive test.

**“Restricted Area”** is an enclosed portion of the Club grounds to which access is limited to registrants whose occupation or participation requires access.

**“Result”** is that part of the official order of finish used to determine the pari-mutuel pay out of pools for each individual contest.



**“Rules of Racing”** refers to the Rules of Racing of the Royal Saint Lucia Turf Club.

**“Sample”** is a portion of any bodily substance or fluid, including but not limited to, tissue, hair, blood or urine obtained from a horse at the direction of the Club for the purposes of determining the presence and/or concentration of regulatory analytes.

**“Scratch”** means the act of withdrawing an entered horse from a contest after the closing of entries.

**“Scratch Time”** means the deadline for withdrawal of entries from a scheduled performance.

**“Simulcast”** is the live audio and visual transmission of a contest to another location for pari-mutuel wagering purposes.

**“Simulcast Race Day”** is a day when pari-mutuel wagering is conducted on simulcast racing.

**“Split Sample Laboratory”** is a facility approved by the Royal Saint Lucia Turf Club to test split samples.

**“Stable Name”** means a name used other than the actual legal name of an Owner or lessee, and registered with the Royal Saint Lucia Turf Club.

**“Stakes”** means the minimum advertised purse for any race.

**“Stakes Race”** means a contest in which nomination, entry and/or starting fees contribute to the purse. Special designations or classifications for stakes races such as "Graded Stakes" or "Black Type" shall be determined by the appropriate Recognised Racing Authorities.

**“Starter”** means a horse which becomes an actual contestant in a race by virtue of the starting gate opening in front of it upon dispatch by the official starter.

**“Starter’s Orders”** is negated in the event of a false start being declared by the Official Starter. No horse which has been withdrawn for whatever reason before a valid start is effected will be considered as having started, and any horse so withdrawn is deemed to be withdrawn not under Starter’s Orders.

**“Started”** means a horse shall be deemed to have started when a valid start is affected.

**“Steward”** means a duly appointed Racing Official with powers and duties specified by a statute or Rules.

**“Test Barn”** is the restricted area designated for obtaining sample specimens from horses ordered for official testing post-race.

**“Time of Entry”** means the time fixed for closing of entries.

**“Tongue Strap”** or **“Tongue Tie”** means a strap of any material used to tie down the horse's tongue.

**“Traction Device”** is any modification or isolated device that extends below the ground bearing plane of the horseshoe (e.g. traction nails, toe grabs, turndowns, blocked heels, jar calks, stickers and Memphis bars), or restricts the natural forward slide of the hoof upon impact.

**“Trainer”** is a person who is officially registered to by the Royal Saint Lucia Turf Club and who has a horse eligible to race under his care, custody, or control at the time entry is made.

**“Tubing”** means the administration of any substance via a nasogastric tube.

**“Turf Club”** or **“Club”** means Royal Saint Lucia Turf Club.

**“Visor”** means a device similar to blinkers in which the cowls have holes cut in them permitting side or rear vision.

**“Walkover”** means a race in which only one horse starts, or in which all the starters are owned by the same interest. To claim the purse the horse(s) must start and go the distance of the race.

**“Week”** is a calendar week.

**“Weigh In”** means the presentation of a Jockey to the Clerk of Scales for weighing after a race.

**“Weigh Out”** means the presentation of a Jockey to the Clerk of Scales for weighing prior to a race.

**“Weight for Age”** means a race in which a fixed scale is used to assign the weight to be carried by individual horses according to age, sex, distance of the race, and month of the year.

**“Win”** means to finish first in a race.

**“Winner”** means the horse whose nose reaches the finish line first, or is placed first through disqualification by the Stewards.

**“Year”** is a calendar year.

## **(5) POWERS OF ROYAL SAINT LUCIA TURF CLUB (RSLTC)**

- (1) The Royal Saint Lucia Turf Club shall have charge of the Registry Office and the registration and custody of all its participants and documents necessary for the proper functioning and integrity of racing.
- (2) The Royal Saint Lucia Turf Club shall maintain records from day to day of all horses and their performances, and of all Owners, Trainers, and Jockey and such other persons or class of persons as it considers necessary.
- (3) All powers of the Royal Saint Lucia Turf Club not specifically defined in these Rules are reserved to the Royal Saint Lucia Turf Club.
- (4) The Royal Saint Lucia Turf Club has power to: -
  - (a) Refuse to allow any Steward or Official to act at any meeting.
  - (b) Refuse to allow any person to act or continue as an Authorised Agent;
  - (c) Order the abandonment of any race or meeting in the case of an emergency or where expedient to do so;
  - (d) Make enquiry into, and deal with, any matter relating to racing; whether or not referred to them by the Stewards;
  - (e) Refuse to accept registration of Ownership of a horse from any person under the age of eighteen (18) years, nor accept their appointment as an Authorised Agent;
  - (f) Reconsider and correct any decision of a Judge made under these Rules and where in their opinion a Judge has made an obvious mistake in determining and

announcing the winner or placed horses in a race. Any decision of the Royal Saint Lucia Turf Club shall supersede that of a Judge, provided it is made within fourteen days of the race;

- (g) Grant or refuse to grant and to renew or refuse to renew registration of persons requiring a registration under these Rules, or on the grant thereof to attach such conditions as it may deem expedient in the circumstances;
  - (h) Withdraw or suspend the registration of any person for breach of the terms of his registration and/or for breach of any of these Rules, and to make reinstatement of such registration at the expiry of any period of suspension subject to such requirements or conditions as it thinks fit;
  - (i) Entertain and decide objections lodged under these Rules;
  - (j) Warn any person off the premises and to declare any such person disqualified;
  - (k) Enter all stands, rooms, enclosures and other places used for the purpose of the meeting;
  - (l) Impose fines for breach of these Rules.
  - (m) The decision of the Royal Saint Lucia Turf Club as to the meaning and effect of these Rules, or of any of the conditions of any programme or race or in any other matter whatsoever relating to racing in this country shall be final.
- (5) The Royal Saint Lucia Turf Club shall consult with the Official Veterinarian to determine the nature and seriousness of a laboratory finding or an alleged medication violation.
- (6) The Royal Saint Lucia Turf Club may impose any of the following penalties on a registrant for a violation of these Rules:
- (a) require forfeiture or redistribution of purse or award, when specified by applicable Rules;
  - (b) place a registrant on probation;
  - (c) suspend a registrant or racing privileges;
  - (d) revoke a registration; or
  - (e) exclude from grounds under the jurisdiction of the Club.
- (7) The Royal Saint Lucia Turf Club may suspend a registration for not more than one year per violation; or they may impose a fine not exceeding the amount stipulated in the Regulations or they may suspend and fine; or they may order that a person be ineligible for registration.

## **(6) FLAT RACING OFFICIALS**

Purpose: To define the duties and responsibilities of Flat Racing Officials.

**(1) Eligibility:**

(1) To qualify as a Racing Official, the appointee shall be:

- (a) of good character and reputation;
- (b) experienced in flat racing;
- (c) familiar with the duties of the position and with the Royal Saint Lucia Turf Club's Rules and Regulations of Racing;
- (d) mentally and physically able to perform the duties of the job; and
- (e) in good standing and not under suspension or ineligible in any racing jurisdiction.

**(6)(A) Racing Officials:**

Officials at a race meeting include the following:

**(6)(A)(1) Stewards:**

**(1) Accreditation**

- (a) To qualify for appointment as a Steward, the appointee shall meet the experience, education and examination requirements necessary to be accredited by the Royal Saint Lucia Turf Club.

**(2) General Authority:**

- (a) The Stewards for each meeting shall be responsible to the Royal Saint Lucia Turf Club for the conduct of the race meeting in accordance with the Rules and Regulations of Racing.
- (b) The Stewards shall enforce these Rules and Regulations.
- (c) The Stewards' authority includes supervision of all Racing Officials, track management, registered personnel, other persons responsible for the conduct of racing, and patrons, as necessary to ensure compliance with these Rules.
- (d) The Stewards shall have authority to resolve conflicts or disputes related to racing, and to discipline violators in accordance with the provisions of these Rules.
- (e) The Stewards have the authority to interpret the Rules and to decide all questions of racing not specifically covered by the Rules.

**(3) Disciplinary Action:**

- (a) The Stewards shall take notice of alleged misconduct or rule violations and initiate investigations into the matters.
- (b) The Stewards shall have authority to charge any registrant for a violation of these Rules, to conduct hearings and to impose disciplinary action in accordance with these Rules.
- (c) The Stewards may compel the attendance of witnesses and the submission of documents or potential evidence related to any investigation or hearing.
- (d) The Stewards may at any time inspect documents, registration papers, and other documents related to racing.
- (e) The Stewards have the power to administer oaths and examine witnesses.
- (f) The Stewards may impose any of the following penalties on a registrant for a violation of these Rules:
  - i. issue a reprimand;
  - ii. assess a fine;
  - iii. suspension of registration
  - iv. termination of registration
- (g) The Stewards shall submit a written report to the Royal Saint Lucia Turf Club of every inquiry and hearing.
- (h) A Stewards' ruling shall not prevent the Royal Saint Lucia Turf Club from imposing a more severe penalty.
- (i) The Stewards may refer any matter to the Royal Saint Lucia Turf Club and may include recommendations for disposition. The absence of a Stewards' referral shall not preclude the Royal Saint Lucia Turf Club's action in any matter.
- (j) Purses, prizes, awards, and trophies shall be redistributed if the Stewards or the Royal Saint Lucia Turf Club order a change in the official order of finish.
- (k) All fines imposed by the Stewards shall be paid to the Royal Saint Lucia Turf Club within fourteen (14) days after the ruling is issued, unless otherwise ordered.

**(4) Protests, Objections and Complaints:**

- (a) The Stewards shall investigate promptly and render a decision in every protest, objection and complaint made to them. They shall maintain a record of all protests, objections and complaints. The Stewards shall file with the Royal Saint Lucia Turf Club a copy of each protest, objection or complaint and any related ruling.

**(5) Stewards' Presence:**

- (a) Stewards shall be present in the Stewards' room during the running of each race.

**(6) Order of Finish for Pari-Mutuel Wagering:**

- (a) The Stewards shall determine the official order of finish for each race in accordance with the Rules of the Racing.
- (b) The decision of the Stewards as to the official order of finish, including the disqualification of a horse or horses as a result of any event occurring during the running of the race, shall be final for purposes of distribution of the pari-mutuel wagering pool.

**(7) Cancel Wagering:**

- (a) The Stewards have the authority to cancel wagering on an individual betting interest or on an entire race, and also have the authority to cancel a pari-mutuel pool for a race or races; if such action is necessary to protect the integrity of pari-mutuel wagering.

**(8) Records and Reports:**

- (a) The Stewards shall prepare a daily report to the Royal Saint Lucia Turf Club, detailing their actions and observations made during each day's race program.
- (b) Not later than seven (7) days after the last day of a race meeting, the Stewards shall submit to the Royal Saint Lucia Turf Club a written report regarding the race meeting.

**(9) Stewards' List:**

- (a) The Stewards shall maintain a Stewards' List of the horses which are ineligible to be entered in a race because of poor or inconsistent performance or behaviour on the racetrack that endangers the health or safety of other participants in racing.
- (b) The Stewards may place a horse on the Stewards' List when there exists a question as to the correct identification or Ownership of said horse.
- (c) A horse which has been placed on the Stewards' List, because of inconsistent performance or behaviour, may be removed from the Stewards' List when, in the opinion of the Stewards, the horse can satisfactorily perform competitively in a race without endangering the health or safety of other participants in racing.
- (d) A horse which has been placed on the Stewards' List, because of questions as to the correct identification or Ownership of said horse, may be removed from the Stewards' List when, in the opinion of the Stewards, proof of correct identification and/or Ownership has been established.

## **(6)(A)(2) Racing Secretary:**

- (1) General Authority:** The racing secretary shall be responsible for the programming of races including conditions and prize money levels for the race meeting, compiling and publishing condition books, assigning weights for handicap races, and shall receive all entries, subscriptions, declarations and scratches.
- (2) Listing of Horses:** The racing secretary shall select the horses to start and the also eligible horses from the declarations in accordance with these Rules.
- (3) Posting of Entries:** Upon completion of the draw each day, the racing secretary shall post a list of entries in a conspicuous location in his/her office and make the list available to the media.
- (4) Daily Program:** The racing secretary shall publish the official program, ensuring the accuracy therein of all relevant racing information including but not limited to race times; purses; conditions; distances; names of Owners, Trainers and horses; post positions; and saddle cloth numbers.
- (5) Nominations and Declarations:** The racing secretary shall examine nominations and declarations and early closing events, late closing events and stakes events to verify the eligibility of all declarations and nominations and compile lists thereof for publication.

## **(6)(A)(3) Handicapper:**

- (1)** The Handicapper in making a handicap shall allot the weights to be carried in accordance with the definition of a handicap. The weights for all handicaps shall be published and no alteration shall be made to a weight after the day of publication, except that by express permission of the Stewards.

## **(6)(A)(4) Paddock Judge:**

- (1) General Authority:** The Paddock Judge shall:
  - (a) supervise the assembly of horses in the paddock no later than fifteen (15) minutes before the scheduled post time for each race;
  - (b) maintain a written record of all equipment, inspect all equipment of each horse saddled and report any change thereof to the Stewards;
  - (c) prohibit any change of equipment without the approval of the Stewards;
  - (d) ensure that the saddling of all horses is orderly, open to public view, free from public interference, and that horses are mounted at the same time, and leave the paddock for the post in proper sequence;
  - (e) supervise paddock schooling of all horses approved for such by the Stewards;
  - (f) report to the Stewards any observed cruelty to a horse;
  - (g) ensure that only authorised persons are permitted in the paddock; and

(h) report to the Stewards any unusual or illegal activities.

**(2) Paddock Judge's List:**

- (a) The Paddock Judge shall maintain a list of horses which shall not be entered in a race because of poor or inconsistent behaviour in the paddock that endangers the health or safety of other participants in racing.
- (b) At the end of each race day, the Paddock Judge shall provide a copy of the List to the Stewards.
- (c) To be removed from the Paddock Judge's List, a horse must be schooled in the paddock and demonstrate to the satisfaction of the Paddock Judge and the Stewards that the horse is capable of performing safely in the paddock.

**(6)(A)(5) Horse Identifier:**

**(1) General Authority:** The Horse Identifier shall:

- (a) inspect documents to ensure the proper identification of each horse scheduled to compete at a race meeting;
- (b) examine every starter in the paddock for sex, colour, markings and microchip or other identification method approved by the Royal Saint Lucia Turf Club for comparison with its registration certificate to verify the horse's identity.

**(2) Report Violations:** The Horse Identifier shall report to the Stewards any horse not properly identified or whose registration certificate is not in conformity with these Rules.

**(6)(A)(6) Clerk of Scales:**

**(1) General Authority:** The Clerk of Scales shall:

- (a) verify the presence of all Jockeys in the Jockeys' Room at the appointed time;
- (b) verify that all such Jockeys have a current Jockey registration issued by the Royal Saint Lucia Turf Club;
- (c) verify the correct weight of each Jockey at the time of weighing out and weighing in and report any discrepancies to the Stewards immediately;
- (d) oversee the security of the Jockeys' Room including the conduct of the Jockeys and their attendants;
- (e) promptly report to the Stewards any infraction of the Rules with respect to weight, weighing, riding equipment or conduct;
- (f) record all required data on the scale sheet and submit that data to the Club at the end of each race day;



- (g) assume the duties of the Jockeys' Room custodian in the absence of such employee.

### **(6)(A)(7) Jockeys' Room Custodian:**

**(1) The Jockeys' Room custodian shall:**

- (a) supervise the conduct of the Jockeys and their attendants while they are in the Jockeys Room;
- (b) keep the Jockeys' Room clean and safe for all Jockeys;
- (c) ensure all Jockeys are in the correct colours before leaving the Jockeys' Room to prepare for mounting their horses;
- (d) keep a daily program displayed in plain view for the Jockeys so they may have ready access to mounts that may become available;
- (e) keep unauthorised persons out of the Jockeys' Room; and
- (f) report to the Stewards any unusual occurrences in the Jockeys' Room.

### **(6)(A)(8) Placing Judge:**

**(1) General Authority:**

- (a) The Placing Judges shall determine the order of finish in a race as the horses pass the finish line and may display the results on the totalisator board.

**(2) Photo Finish:**

- (a) In the event the Placing Judges or the Stewards request a photo of the finish, the photo finish sign shall be posted on the totalisator board.
- (b) In the event a photo was requested, the Placing Judges shall cause a photographic print of said finish to be produced.

**(3) Dead Heats:**

- (a) In the event the Placing Judges determine that two or more horses finished the race simultaneously and cannot be separated as to their order of finish, a dead heat shall, with the approval of the Stewards, be declared.

### **(6)(A)(9) Official Veterinarian:**

**(1) General:** The Official Veterinarian shall:

- (a) be employed by the Royal Saint Lucia Turf Club;
- (b) be a graduate Veterinarian and be approved to practice in this jurisdiction;

- (c) be qualified to objectively and competently provide the regulatory duties prescribed.

**(2) Responsibilities:** The Official Veterinarian shall:

- (a) recommend to the Stewards any horse deemed unsafe to be raced, or a horse that it would be inhumane to allow to race;
- (b) conduct pre-race inspections on all potential starters on race day;
- (c) inspect any horse when there is a question as to the physical condition of such horse, independent of the horse's entry status;
- (d) be present in the paddock during saddling, on the racetrack during the post parade, and at the starting gate until the horses are dispatched from the starting gate for the race;
- (e) recommend to the Stewards the scratching of any horse that is, in the opinion of the Official Veterinarian, injured, ill, or otherwise unable to compete due to a medical or health-related condition;
- (f) inspect any horse which appears in physical distress during the race or at the finish of the race; and shall report such horse together with his/her opinion as to the cause of the distress to the Stewards;
- (g) provide emergency medical care to horses injured during racing and effect case transfer to the practicing veterinarian;
- (h) be authorised to humanely euthanise any horse deemed to be so seriously injured that it is in the best interests of the horse to so act; and
- (i) report to the Royal Saint Lucia Turf Club the names of all horses humanely euthanised or which otherwise expired at the meeting and the reasons therefore;
- (j) maintain all required records of post mortem examinations performed on horses which have died within the jurisdiction of the Club;
- (k) maintain the Veterinarian's List of horses ineligible to race;
- (l) supervise and control the Test Barn;
- (m) supervise the taking of all specimens for testing according to procedures approved by the Royal Saint Lucia Turf Club;
- (n) provide proper safeguards in the handling of all laboratory specimens to prevent tampering, confusion, or contamination and assure sample integrity;
- (o) have jurisdiction over the practicing Veterinarians within the enclosure for the purpose of these Rules;
- (p) review and consult with the applicants and the Royal Saint Lucia Turf Club regarding registration applications of practicing Veterinarians, Veterinary

Technicians or Assistants, vendors of medical supplies and equipment, non-veterinarian health care providers (massage therapists, nutritionists, physical therapists, etc.);

- (q) cooperate with practicing Veterinarians and other regulatory agencies to take measures to control communicable and/or reportable equine diseases.

### **(6)(B) Approval and Appointment:**

- (1) The Royal Saint Lucia Turf Club, in its sole discretion, may determine the eligibility of a Racing Official and in its sole discretion, may approve or disapprove any such official for appointment.

### **(6)(C) Prohibited Practices:**

- (1) While serving in an official capacity, Racing Officials and their assistants shall not:
  - (a) participate in the sale, purchase, or Ownership of any horse racing at the meeting;
  - (b) sell or solicit horse insurance on any horse racing at the meeting;
  - (c) be appointed in any other capacity without permission of the Royal Saint Lucia Turf Club, or in case of an emergency, the permission of the Stewards;
  - (d) wager on the outcome of any race under the jurisdiction of the Royal Saint Lucia Turf Club; or
  - (e) consume or be under the influence of alcohol or any prohibited substances while performing official duties.

## **(7) OWNERS' AUTHORISED AGENTS**

### **(7)(A) Approval and Registration Required:**

- (1) The appointment of an Authorised Agent shall be approved by the Royal Saint Lucia Turf Club and registered upon the payment of the requisite fee.
- (2) Application for registration shall be filed for each Owner represented.
- (3) A written instrument signed by the Owner shall accompany the application and shall clearly set forth the delegated powers of the Authorised Agent. The Owner's signature on the written instrument shall be acknowledged before a notary public.

### **(7)(B) Powers and Duties:**

- (1) A registered Authorised Agent may perform on behalf of the registered Owner-principal all acts as relate to racing, as specified in the agency appointment that could be performed by the principal if such principal were present.

## **(8) JOCKEY'S AGENTS**

### **(8)(A) Eligibility:**

- (1) An applicant for appointment as a Jockey's Agent shall:
  - (a) provide written proof of agency with at least one Jockey registered by the Royal Saint Lucia Turf Club;
  - (b) demonstrate to the Royal Saint Lucia Turf Club that he/she has a contract for agency with at least one Jockey who has been registered with the Royal Saint Lucia Turf Club; and
  - (c) be qualified, as determined by the Royal Saint Lucia Turf Club designee, by reason of experience, background and knowledge. A Jockey's Agent's registration from another jurisdiction may be accepted as evidence of experience and qualifications.
  - (d) Applicants not previously registered as a Jockey's Agent may be interviewed by the Royal Saint Lucia Turf Club to assess their credentials before registering.

### **(8)(B) Responsibilities:**

- (1) A Jockey's Agent shall not make or assist in making engagements for a Jockey other than those the agent is registered to represent.
- (2) A Jockey's Agent shall file written proof of all agencies and changes of agencies with the Royal Saint Lucia Turf Club.
- (3) A Jockey's Agent shall notify the Stewards, in writing, prior to withdrawing from representation of a Jockey and shall submit to the Stewards a list of any unfulfilled engagements made for the Jockeys.
- (4) All persons permitted to make riding engagements shall maintain current and accurate records of all engagements made, such records being subject to examination by the Stewards, at any time.

### **(8)(C) Prohibited Areas:**

- (1) A Jockey's Agent is prohibited from entering the Jockeys' Room, winner's circle, racing strip, paddock or saddling enclosure during the hours of racing, unless permitted by the Stewards.

### **(8)(D) Agent Withdrawal:**

- (1) A Jockey's Agent shall not be permitted to withdraw from the representation of any Jockey unless written notice to the Stewards and or Club has been provided.

## **(9) FARRIER**

### **(9)(A) Eligibility:**

- (1) An applicant to be registered as Farrier shall:
  - (a) be at least 18 years of age;
  - (b) be qualified, as determined by the Royal Saint Lucia Turf Club by reason of experience, background and knowledge of horseshoeing. A Farrier's license from another jurisdiction, having been issued within a prior period as determined by the Club, may be accepted as evidence of experience and qualifications.
  - (c) Evidence of qualifications may require passing one or more of the following:
    - i. a written examination;
    - ii. an interview or oral examination; and
    - iii. a demonstration of practical skills in horseshoeing.
  - (d) Applicants not previously registered as a Farrier shall be required to pass a written/oral examination, demonstrate practical skills and submit at least two written statements as to the character and qualifications of the applicant.

## **(10) PRACTICING VETERINARIANS**

### **(10)(A) Eligibility:**

- (1) An applicant for registration and approval as a practicing Veterinarian shall be qualified to practice veterinary medicine in this jurisdiction or a jurisdiction recognised by the Club. An application for registration as a practicing Veterinarian from the Royal Saint Lucia Turf Club must be accompanied by a copy of the applicant's current license to practice veterinary medicine. Approval of registration is exclusively at the discretion of the Turf Club.

### **(10)(B) Responsibility:**

- (1) All practicing Veterinarians administering drugs, medications or other substances shall be responsible for ensuring that the drugs, medications or other substances and the veterinary treatment of horses are administered in accordance with the Rules of Racing.
- (2) All practicing Veterinarians shall promptly notify the Official Veterinarian of any reportable disease and any unusual incidence of a communicable illness in any horse in his/her charge.

### **(10)(C) Restrictions on Wagering:**

- (1) A practicing Veterinarian shall not wager on the outcome of any race if the practicing Veterinarian has treated a horse participating in that race within the past 30 days.

**(11) DECLARATIONS AND SCRATCHES -  
DECLARATIONS AND SCRATCHES ARE  
IRREVOCABLE**

**(11)(A) Declarations:**

- (1) A declaration is the act of withdrawing an entered horse from a race prior to the closing of entries.
- (2) The declaration of a horse before closing shall be made by the Owner, Trainer or their registered designee in the form and manner prescribed in these Rules.

**(11)(B) Scratches:**

- (1) A scratch is the act of withdrawing an entered horse from a contest after the closing of entries.
- (2) The scratch of a horse after closing shall be made by the Official Veterinarian.
- (3) No horse may be scratched without a certificate from the Official Veterinarian and the approval of the Stewards.

**(11)(C) Entries, Subscriptions, Declarations to Run and  
Acceptance for Races: General**

- (1) All entries and declarations are under the supervision of a Steward, and he may, in his discretion, but subject to the provisions of these Rules, refuse the entries of any person.
- (2) All entrance money must be paid at the time of entry.
- (3) No horse may be entered for any race if such horse is not registered for racing purposes with the Royal Saint Lucia Turf Club.
- (4) No horse may be entered for any race (other than a race for which subscriptions close when such horse is a foal or yearling) by or on behalf of any Owner or any Syndicate or any Partnership or any company whose name is not duly registered in accordance with the Rules of Racing.
- (5) Entries for all races shall, not later than on the day following the date of closing, be published by the Club.
- (6) A horse is not qualified to run in any race:
  - (a) unless it is duly entered for the same;
  - (b) unless it is trained by a registered Trainer.
  - (c) unless it has been examined by the Official Veterinarian in accordance with the published conditions of the meeting;

- (d) if it has been tubed on the day of the race; or
  - (e) unless its Jockey has been duly weighed out in accordance with these Rules.
  - (f) if its vaccination status is not current and duly recorded with the Royal Saint Lucia Turf Club.
  - (g) if his Owner's or any of his part Owner's registration has lapsed.
  - (h) unless it has been implanted with a Microchip (RFID).
  - (i) Any entry/declaration received in breach of these, must be regularised with the Royal Saint Lucia Turf Club by the Trainer, twenty-four (24) hours before post time for the first race for the race day in question. Failure by the Trainer to comply, will result in the withdrawal of said horse from the race and a fine imposed on the Trainer.
- (7) Any horse which has been withdrawn from racing on medical grounds, shall not be permitted to take entry or race until such time as the Official Veterinarian or his designated representative, in his opinion determines that the horse is fit, sound and ready for racing, and in such a case, he shall issue a certificate of fitness and soundness in respect of such horse before being allowed to take a subsequent entry.

## **(12) HORSES INELIGIBLE**

- (1) A horse is ineligible to start in a race when:
- (a) it is not stabled on the grounds of the Royal Saint Lucia Turf Club or present by the time established by the Royal Saint Lucia Turf Club;
  - (b) it is not duly registered with the Royal Saint Lucia Turf Club;
  - (c) is not fully identified by its unique microchip;
  - (d) it has been fraudulently entered or raced in any jurisdiction under a different name, with an altered registration certificate, microchip or other identification method approved by the Royal Saint Lucia Turf Club;
  - (e) it is wholly or partially owned by or is under the direct or indirect training or management of a person who for any reason is ineligible to be registered to participate in this jurisdiction;
  - (f) it is wholly or partially owned by or is under the direct or indirect management of the spouse of a person who for any reason is ineligible to be registered or participate in this jurisdiction; in such cases, it being presumed that the person who for any reason is ineligible to be registered or participate in this jurisdiction and spouse constitute a single financial entity with respect to the horse, which presumption may be rebutted;

- (g) the stakes or entrance money for the horse has not been paid, in accordance with the conditions of the race;
- (h) its name appears on the Starter's List, Stewards' List or Veterinarian's List;
- (i) it is a first-time starter and has not been approved to start by the official starter;
- (j) it is owned in whole or in part by an undisclosed person or interest;
- (k) it has been entered in a stakes race and has subsequently been transferred with its engagements, unless the Club has been notified of such prior to the start;
- (l) it is subject to a lien which has not been approved by the Royal Saint Lucia Turf Club;
- (m) it is subject to a lease not filed with the Royal Saint Lucia Turf Club;
- (n) it is not in sound racing condition;
- (o) it has had a surgical neurectomy performed on a heel nerve, which has not been approved by the Official Veterinarian;
- (p) it has been trachea tubed to artificially assist breathing;
- (q) it has been blocked with alcohol or otherwise drugged or surgically 'denerved' to desensitise the nerves above the ankle;
- (r) it has impaired eyesight in both eyes;
- (s) it is barred or suspended in any recognised jurisdiction;
- (t) it does not meet the eligibility conditions of the race;
- (u) its Owner or Lessor is in arrears for any stakes fees, except with approval of the Royal Saint Lucia Turf Club;
- (v) its Owner(s), lessor(s) and/or Trainer have not completed the registration procedures required by the Royal Saint Lucia Turf Club;
- (w) it is by an unknown sire or out of an unknown mare; or
- (x) if it has an infectious disease as determined by the Official Veterinarian.
- (y) If a thoroughbred, has plates that have not been approved by Stewards.



## **(13) RUNNING OF THE RACE**

### **(13)(A) Equipment:**

- (1) All riding crops are subject to inspection and approval by the Stewards and the Clerk of Scales.
  - (a) All Jockeys should comply with the following when using a riding crop:
    - i. Showing the horse the riding crop and giving it time to respond before hitting it;
    - ii. Having used the riding crop, giving the horse a chance to respond before using it again;
    - iii. Using the riding crop in rhythm with the horse's stride.
  - (b) Prohibited use of the riding crop includes but are not limited to striking a horse:
    - i. on the head, flanks or on any other part of its body other than the shoulders or hind quarters except when necessary to control a horse;
    - ii. during the post parade or after the finish of the race except when necessary to control the horse;
    - iii. excessively or brutally causing welts or breaks in the skin;
    - iv. when the horse is clearly out of the race or has obtained its maximum placing;
    - v. persistently even though the horse is showing no response under the riding crop; or
    - vi. striking another Jockey or horse.
  - (c) The flap is the only allowable attachment to the shaft and must meet the specifications as approved by the Royal Saint Lucia Turf Club.
  - (d) A horse's tongue may be tied down with clean bandages, gauze or tongue strap.
  - (e) If a horse is to use any equipment for the first time the Stewards may, at their discretion, request that horse to compete in a barrier trial in said equipment to their satisfaction prior to any start in an official race.

### **(13)(B) Racing Numbers:**

- (1) Each horse shall carry a conspicuous saddle cloth number corresponding to the official number given that horse on the official program.

### **(13)(C) Jockey Requirements:**

- (1) Jockeys shall report to the Jockeys' Room at the time designated by the Club. Except to ride in scheduled races, Jockeys shall not leave the Jockeys' Room, until all of their riding engagements of the day have been fulfilled and they have been approved by the Stewards to leave the racecourse.
- (2) A Jockey who has not fulfilled all riding engagements, who desires to leave the Jockeys' Room, must first receive the permission of the Stewards and must be accompanied by a Club security guard.
- (3) While in the Jockeys' Room, Jockeys shall have no contact or communication with any person outside the Jockeys' Room other than Royal Saint Lucia Turf Club personnel and officials. Any communication permitted by the Stewards may be conducted only in the presence of the Clerk of Scales or other person designated by the Stewards.
- (4) Upon arrival at the racecourse, all Jockeys must surrender all communication devices to Stewards for the duration of the day until they have met all their riding obligations and have been cleared to leave by Stewards. This includes, but is not limited to, mobile phones and tablets.
- (5) Jockeys shall be weighed out for their respective mounts as instructed by the Clerk of Scales.
- (6) Only valets employed by the Club shall assist Jockeys in weighing out.
- (7) A Jockey must wear a safety vest when riding in any official race. The safety vest shall meet one of the standards for safety vests as outlined in the Rules and Regulations of Racing.
- (8) Weighing Out:
  - (a) A Jockey's weight shall include his/her clothing, boots, saddle and its attachments and any other equipment except the bridle, bit, blinkers, goggles, number cloth and safety equipment including helmet, vest, over-girth, reins and breast collar.
- (9) Once Jockeys have fulfilled their riding engagements for the day and have left the Jockeys' Room, they shall not be re-admitted to the Jockeys' Room until after the entire racing program for that day has been completed, except with permission of the Stewards.

### **(13)(D) Paddock to Post:**

- (1) Each horse shall carry the full weight assigned for that race from the paddock to the starting post and from the start to the finish, and shall parade past the Stewards' stand, unless excused by the Stewards.
- (2) After the horses enter the track, no Jockey may dismount nor entrust his horse to the care of an attendant unless, because of accident occurring to the Jockeys, the horse or the equipment, and with the prior consent of the starter. During any delay during which

a Jockey is permitted to dismount, all other Jockeys may dismount, and their horses may be attended by others. After the horses enter the track, only the Jockey, an Assistant Starter, the Official Veterinarian, or an Outrider or Pony Rider may touch the horse before the start of the race.

- (3) If a Jockey is injured on the way to the post, the horse shall be returned to the paddock or any other area designated by the Stewards, re-saddled with the appropriate weight and remounted with a replacement Jockey.
- (4) In case of accident to a Jockey or his/her mount or equipment, the Stewards or the official starter may permit the Jockey to dismount and the horse to be cared for during the delay and may permit all Jockeys to dismount and all horses to be attended to during the delay.
- (5) No person shall wilfully delay the arrival of a horse at the post.
- (6) The Official Starter shall load horses into the starting gate in any order deemed necessary to ensure a safe and fair start. Only the Jockey, the Official Veterinarian, the Official Starter or an Assistant Starter shall handle a horse at the post.

### **(13)(E) Post to Finish**

#### **(13)(E)(1) The Start:**

- (1) The Official starter is responsible for assuring that each participant receives a fair start.
- (2) If the Stewards suspect a false start has occurred, they shall post the inquiry sign and may disqualify the horse(s), declare it a non-starter, or take no action.
- (3) If, when the official starter dispatches the field, any door at the front of the starting gate stalls should not open properly due to a mechanical failure or malfunction or should any action by any starting personnel directly cause a horse to receive an unfair start, the Stewards may declare such a horse a non-starter.
- (4) Should a horse, not scratched prior to the start, not be in the starting gate stall thereby causing it to be left when the field is dispatched by the official starter, the horse shall be declared a non-starter by the Stewards.
- (5) Should an accident or malfunction of the starting gate, or other unforeseeable event compromise the fairness of the race or the safety of race participants, the Stewards may declare individual horses to be non-starters, exclude individual horses from all pari-mutuel pools or declare a "no contest" and refund all wagers except as otherwise provided in the Rules involving multi-race wagers.

#### **(13)(E)(2) Interference, Jostling or Striking:**

- (1) A Jockey shall not ride carelessly or wilfully so as to permit his/her mount to interfere with, impede or intimidate any other horse in the race.
- (2) No Jockey shall carelessly or wilfully jostle, strike or touch another Jockey or another Jockey's horse or equipment. No Jockey shall unnecessarily cause his/her horse to shorten its stride so as to give the appearance of having suffered a foul.

### **(13)(E)(3) Maintaining a Straight Course:**

- (1) When the way is clear in a race, a horse may be ridden to any part of the course, but if any horse swerves, or is ridden to either side, so as to interfere with, or impede any other horse, it is a foul.
- (2) The offending horse may be disqualified, if in the opinion of the Stewards, the foul altered the finish of the race, regardless of whether the foul was accidental, wilful or the result of careless riding.
- (3) If the Stewards determine the foul was intentional, or due to careless riding, the Jockey may be held responsible.
- (4) Every horse must maintain position in the lane in which it starts. A Jockey can only change the lane of its mount if it is a minimum of two horse lengths clear of any fellow horse and rider and if the act of changing lanes does not risk injury or harm to another Jockey or horse or to the chances of their mount. If a horse is ridden, drifts or swerves out of its lane in such a manner that it interferes with, or impedes another horse, it is a foul and may result in the disqualification of the offending horse.

### **(13)(E)(4) Disqualifications:**

- (1) Disqualifying horses is at the sole discretion of the presiding Stewards.
- (2) When the Stewards determine that a horse shall be disqualified for interference, they may place the offending horse behind such horses as in their judgment it interfered with or they may place it last.
- (3) Possession of any electrical or mechanical stimulating or shocking device by a Jockey, horse Owner, Trainer or other person authorised to handle or attend to a horse shall be prima facie evidence of a violation of these Rules and is sufficient grounds for the Stewards to scratch or disqualify the horse.

### **(13)(E)(5) Horses Shall be Ridden Out:**

- (1) All horses shall be ridden out in every race to achieve the best possible finishing position.

### **(13)(E)(6) Use of Riding Crop:**

- (1) Although the use of a riding crop is not required, any Jockey who uses a riding crop during a race shall do so only in a manner consistent with exerting his/her best efforts to win.
- (2) The riding crop shall only be used for safety, correction and encouragement.
- (3) After the race, horses will be subject to inspection by the Official Veterinarian looking for cuts, welts or bruises in the skin. Any adverse findings shall be reported to the Stewards.
- (4) The giving of instructions by any registrant that if obeyed would lead to a violation of this rule may result in disciplinary action also being taken against the registrant who gave such instructions

### **(13)(E)(7) Order of Finish:**

- (1) The official order of finish shall be decided by the Stewards with the aid of the photo finish system, and in the absence of the photo finish film record, the video replay. The photo finish and video replay are only aiding in the Stewards' decision. The decision of the Stewards shall be final in all cases.
- (2) The nose of the horse shall determine the placement of the horse in relationship to other horses in the race.

### **(13)(E)(8) Returning After the Finish:**

- (1) After a race has been run, the Jockey shall ride promptly to the place designated by the Stewards, dismount and report to the Clerk of Scales to be weighed in. Jockey shall weigh in with all pieces of equipment with which they weighed out.
- (2) If a Jockey is prevented from riding to the designated unsaddling area because of an accident or illness to the Jockey or the horse, the Jockey may walk or be transported to the scales, or may be excused from weighing in by the Stewards.

### **(13)(E)(9) Unsaddling:**

- (1) Only persons authorised by the Stewards may assist the Jockey with unsaddling the horse after the race.
- (2) No one shall place a covering over a horse before it is unsaddled.

### **(13)(F) The Race:**

- (1) Every horse that runs in a race shall be run on its merits.
- (2) A Trainer shall be responsible for ensuring horses trained by him are ridden and perform in a consistent manner wherever possible.
- (3) A Trainer may be penalised if in the opinion of the Stewards, any instruction or direction given to or arrangement made with a Jockey of a horse trained by him is unreasonably different from or inconsistent with those given to or made with a Jockey of the horse in its previous start or starts.
- (4) Any person who in the opinion of the Stewards breaches or is a party to breaching any portion of this Rule may be penalised and the horse may be disqualified or have its entry or declaration refused.
- (5) The Trainer, and/or Jockey of a horse shall report to the Stewards as soon as practicable anything which might have affected the running of their horse in any race.

### **(13)(G) Weighing In:**

- (1) Immediately after pulling up, the Jockey of the horses placed first, second, third and fourth in each race or any other horses so designated by the Stewards, must ride their horses to the place appointed for the unsaddling of placed horses, and the horses shall

remain there until ordered by the Steward in charge or his representative to be taken away. The other Jockeys must ride their horses to the unplaced unsaddling area.

- (2) If a Jockey be too ill to weigh-in, his horse shall not be disqualified for the race provided that the Jockey weighed-out at not less than his proper weight and the Stewards are satisfied there are no circumstances which suggest that less than the proper weight was carried throughout the race.
- (3) When being weighed-in, a Jockey shall include in his weight everything that the horse has carried in the race except as provided elsewhere in these Rules.
- (4) If a Jockey weighs-in at more than his correct weight he shall be reported to the Stewards and, although his horse shall not be disqualified for the race in respect of weight carried, the Jockey may be penalised.
- (5) If a Jockey cannot draw the correct weight, the Clerk of the Scales shall allow him half a kilogram. If he cannot then draw the weight his horse shall on a sustained protest/objection be disqualified for the race and the Jockey may be penalised.
- (6) If a horse carries less than the weight it should carry in accordance with the conditions of the race and these Rules, it shall on a sustained protest/objection be disqualified for the race, and any person found to be responsible may be penalised.
- (7) No protest/objection on any grounds other than those that are categorised as protests/objections shall be entertained prior to the hoisting of the Weighed-In signal.
- (8) A Jockey shall weigh in at no less than the same weight at which he/she weighed out, and if under that weight, and after consideration of mitigating circumstances by the board of Stewards, his/her mount may be disqualified from any portion of the purse money.
- (9) In the event of such disqualification, all monies wagered on the horse shall be refunded unless the race has been declared official.
- (10) A Jockey's weight shall include clothing, boots, saddle and its attachments and any other equipment except the bridle, bit, blinkers, cheek pieces, visor, eye shield, hood over girth, whip (crop) and reins.

### **(13)(H) Weighing Out:**

- (1) No Jockey shall be weighed-out for any race unless he and his mount have been declared for such race.
- (2) The Trainer is responsible for his horse carrying the correct weight in accordance with the conditions of the race. The Trainer shall declare to the Clerk of the Scales before the Jockey weighs-out the weight his horse will carry if it differs from the weight appearing on the official programme. Failure to make this declaration will render the Trainer liable to be fined by the Stewards.
- (3) Any declared Jockey who does not present himself to be weighed-out may with the permission of the Stewards be substituted by another Jockey, provided always that the substitute Jockey can be weighed within the time specified in this Rule. Unless the

Stewards are satisfied that the absence of the Jockey was due to unavoidable circumstances, they may penalise such Jockey.

- (4) Every Jockey must be weighed for a specified horse by the Clerk of the Scales at the appointed place not less than a quarter of an hour before the time fixed for the race. In exceptional cases, the Stewards may extend the time allowed for declaring runners under this Rule weighing, declaring weight and for exhibiting the numbers.
- (5) If a Jockey weighs-out over the weight declared, such Jockey and any other person held responsible may be penalised, and another Jockey may be substituted at the allotted weight or near to the allotted weight.
- (6) The following articles shall be put on to the scales with the Jockey when he is both weighing-out and weighing-in: —
  - (a) Everything carried or worn by the Jockey and by the horse in a race except the helmet, cloth cap, whip, goggles, bridle (including noseband), rings, muzzle, hood, blinkers, visor, pacifier, and anything worn on the horse's legs or hoofs.
- (7) No person, without the permission of the Stewards, shall add to, remove from, or change any equipment with which the Jockey has been weighed. The Stewards may require the Jockey to re-weigh if any alteration is made.
- (8) If a Jockey after he has been weighed for a specified horse and before he has come under Starter's orders is prevented by accident or illness from riding in the race, another Jockey may be substituted provided there is no unreasonable delay.
- (9) Prior to any race, no person other than an Official in the course of his duties or the relevant Trainer, shall except by leave of the Stewards or Starter touch the Jockey or his horse or any of its equipment.

### **(13)(I) Dead Heats:**

- (1) When two horses run a dead heat for first place, all purses or prizes to which first and second horses would have been entitled shall be divided equally between them; and this applies in dividing all purses or prizes whatever the number of horses running a dead heat and whatever places for which the dead heat is run.
- (2) In a dead heat for first place, each horse involved shall be deemed a winner and liable to penalty for the amount it shall receive.
- (3) When a dead heat is run for second place and an objection is made to the winner of the race, and sustained, the horses which ran a dead heat shall be deemed to have run a dead heat for first place.

## **(14) OBJECTIONS AND INQUIRIES**

### **(14)(A) Stewards to Inquire:**

- (1) The Stewards shall take cognizance of foul riding and, upon their own motion or that of any Racing Official or person empowered by this section to object or complain, shall make diligent inquiry or investigation into such objection or complaint when properly received.
- (2) In determining the extent of disqualification, the Stewards in their discretion may:
  - (a) declare null and void a track record set or equalled by a disqualified horse, or any horses coupled with it as an entry;
  - (b) affirm the Placing Judges' order of finish and hold the Jockey responsible if, in the Stewards' opinion, the foul riding did not affect the order of finish; or
  - (c) disqualify the offending horse and hold the Jockey blameless if in the Stewards' opinion the interference to another horse in a race was not the result of an intentional foul or careless riding on the part of a Jockey.

### **(14)(B) Race Objections:**

- (1) An objection to an incident alleged to have occurred during the running of a race shall be received only when lodged with the Clerk of Scales, the Stewards or their designees, by the Owner, the Authorised Agent of the Owner, the Trainer or the Jockey of a horse engaged in the same race.
- (2) An objection following the running of any race must be filed before the race is declared official, whether all or some riders are required to weigh in.

### **(14)(C) Prior Objections:**

- (1) An objection to a horse which is entered in a race may be made on, but not limited to, the following grounds or reasons:
  - (a) A misstatement, error or omission in the entry under which a horse is to run;
  - (b) the horse which is entered to run is not the horse it is represented to be at the time of entry, or the age was erroneously given;
  - (c) the horse is not qualified to enter under the conditions specified for the race, or the allowances are improperly claimed or not entitled to the horse, or the weight to be carried is incorrect under the conditions of the race;
  - (d) the horse is owned in whole or in part, or leased or trained by a person ineligible to participate in racing or otherwise ineligible to own a race horse as provided in these Rules; or
  - (e) the horse was entered without regard to a lien filed previously with the Royal Saint Lucia Turf Club.



- (2) The Stewards may scratch from the race any horse which is the subject of an objection if they have reasonable cause to believe that the objection is valid.

### **(14)(D) Protests:**

- (1) A protest against any horse which has started in a race shall be made to the Royal Saint Lucia Turf Club in writing, signed by the protestor, within 72 hours of the race exclusive of non-racing days a protest may be made on any of the following grounds:
  - (a) Any grounds for objection as set forth in this section;
  - (b) the order of finish as officially determined by the Stewards was incorrect due to oversight or errors in the numbers of the horses which started the race;
- (2) Notwithstanding any other provision in this section, the time limitation on the filing of protests shall not apply in any case in which fraud or wilful misconduct is alleged provided that the Stewards are satisfied that the allegations are bona fide and verifiable.
- (3) No person shall file any objection or protest knowing the same to be inaccurate, false, untruthful or frivolous.
- (4) The Royal Saint Lucia Turf Club may order any purse, award or prize for any race withheld from distribution pending the determination of any protest. In the event any purse, award or prize has been distributed to an Owner or for a horse which by reason of a protest or other reason is disqualified or determined to be not entitled to such purse, award or prize, the Stewards or the Royal Saint Lucia Turf Club may order such purse, award or prize returned and redistributed to the rightful Owner or horse. Any person who fails to comply with an order to return any purse, award or prize erroneously distributed shall be subject to fines and suspension.

### **(14)(E) Appeals:**

- (1) Any Owner, Trainer or Jockey of a horse in a race the subject of an objection under these Rules who is aggrieved by the Stewards' decision, or any person upon whom any form of penalty has been imposed by the Stewards shall be entitled to appeal to the Royal Saint Lucia Turf Club. An application must be lodged within 72 hours of the decision.
- (2) The enforcement of any suspension on a Jockey shall be deferred upon an appeal against same being lodged, pending the determination of the said appeal by the Appeals Panel.

### **(14)(F) Corrupt and Fraudulent Practices:**

- (1) No drug shall be administered, no body wash, liniment, freezing agent or irritant shall be applied, and no electrical, mechanical, or other appliances other than an approved whip or spurs shall be used for the purpose of affecting the speed, stamina, courage or conduct of a horse in a race.
- (2) No person shall administer or cause to be administered for the purpose of affecting the speed, stamina, courage or conduct of a horse, drugs, stimulants or depressants internally by hypodermic or other method.

- (3) No person shall corruptly give or offer, or promise directly or indirectly, any bribe to any official in relation to a race or racehorse, or to any Trainer, Jockey, or agent or to any person having charge of, or access to, any racehorse.
- (4) No person shall accept or offer to accept any bribe in any form.
- (5) No person other than a Veterinarian registered by the Royal Saint Lucia Turf Club shall have in his/her possession on the premises during any recognised meeting any drug which is a narcotic, stimulant, or depressant or any hypodermic syringe or hypodermic needle or similar instrument which may be used for injection. No person shall have in his possession on the premises during any recognised meeting any electrical stimulating or shocking device commonly known as a battery or any mechanical stimulating device or any other appliance which might affect the speed or actions of a horse. The Stewards may permit the possession of drugs or appliances by a registrant for personal medical needs under such conditions as the Royal Saint Lucia Turf Club may impose.
- (6) No person shall wilfully enter or cause to be entered or to start for any race a horse which he knows or believes to be disqualified.
- (7) If any person conspires with any other person against the Club of any corrupt or fraudulent practice in relation to racing or breeding in this or any other country, such person shall be excluded from the Club grounds by the Stewards and reported forthwith to the Royal Saint Lucia Turf Club who may either with or without further enquiry warn him off all places where these Rules are in force.
- (8) When any horse has been declared to run under these Rules and has been the subject of an examination, and the result of an analysis of any sample of its tissue, body fluid or excreta is positive, the Royal Saint Lucia Turf Club may impose a fine upon the Trainer of the horse in question and may, at their discretion, suspend or withdraw his registration.
- (9) Any person who administers or attempts to administer or allows to be administered or connives at the administration of a prohibited substance; or uses or shall have in his possession any electric or electronic apparatus or any improper contrivance which may be or is capable of affecting the racing performance of that horse in a race, shall be deemed to be in breach of these Rules, and may be declared a disqualified person or otherwise penalised by the Royal Saint Lucia Turf Club under these Rules.
- (10) When a person is warned off by the Royal Saint Lucia Turf Club he/she is, so long as his/her exclusion continues, a disqualified person.
- (11) Any person on whom disqualifications have been imposed by any authority recognised by the Royal Saint Lucia Turf Club, is a disqualified person under these Rules, so long as the disqualification continues.
- (12) Any person who acts in any official capacity, enters, owns, trains, or rides a horse entered or running at any recognised meeting, is liable to become a disqualified person by the Royal Saint Lucia Turf Club for such time as they think fit.

(13) If any person so notified fails to pay the arrears within twenty-eight (28) days of the date of posting or delivery of such letter, he/she shall forthwith become a disqualified person.

(14) A disqualified person, so long as his disqualification lasts, shall not: -

- (a) Act as a Steward or Official at any recognised meeting
- (b) Act as an Authorised Agent under these Rules;
- (c) Subscribe for, enter, run, train, or ride a horse in any race at any recognised meeting, or ride in trials.
- (d) Enter any racecourse premises, stand or enclosure.
- (e) Except with the permission of the Royal Saint Lucia Turf Club, be employed in any racing stable.

## **(15) PHYSICAL INSPECTION OF HORSES**

### **(15)(A) Assessment of Racing Condition:**

- (1) Every horse entered to participate in an official race shall be subjected to a veterinary inspection prior to starting in the race for which it is entered.
- (2) The inspection shall be conducted by the Official Veterinarian.
- (3) The Trainer of each horse or a representative of the Trainer must present the horse for inspection as required by the examining veterinarian. Horses presented for examination must have bandages removed; the legs must be clean. Prior to examination, horses may not be placed in ice nor shall any device or substance be applied that impedes veterinary clinical assessment.
- (4) The assessment of a horse's racing condition shall include:
  - (a) Proper identification of each horse inspected;
  - (b) Observation of each horse in motion;
  - (c) Manual palpation and passive flexion of both forelimbs;
  - (d) Visual inspection of the entire horse and assessment of overall condition;
  - (e) Clinical observation in the paddock and saddling area, during the parade to post and at the starting gate, during the running of the race, and following the race until the horse has exited the race track; and,
  - (f) Any other inspection deemed necessary by the Official Veterinarian.
- (5) The Official Veterinarian shall maintain a permanent continuing health and racing soundness record of each horse inspected.

- (6) The Official Veterinarian is authorised access to any and all horses housed on Club grounds regardless of entry status.
- (7) If, prior to starting, a horse is determined to be unfit for competition, or if the Veterinarian is unable to make a determination of racing soundness, the Veterinarian will recommend to the Stewards the horse be scratched.
- (8) Horses scratched upon the recommendation of the Official Veterinarian are to be placed on the Veterinarian's List.

### **(15)(B) Veterinarian's List:**

- (1) The Official Veterinarian shall maintain the Veterinarian's List of all horses which are determined to be unfit to compete in a race due to illness, Positive Test, physical distress, unsoundness, infirmity or any other medical condition. Horses so listed are ineligible to enter to race in any jurisdiction until released by an Official Veterinarian.
- (2) A horse may be removed from the Veterinarian's List when, in the opinion of the Official Veterinarian, the condition which caused the horse to be placed on the Veterinarian's List is resolved and the horse's status is returned to that of racing soundness.
- (3) Horses working to be released from the Veterinarian's List are to be in compliance with the Rules and Regulations of Racing, and may be subjected to post-work biologic sample collection for laboratory confirmation or compliance.
- (4) Horses may be released from the Veterinarian's List only by authorization of the Official Veterinarian.

### **(15)(C) Post Mortem Examinations:**

- (1) The Royal Saint Lucia Turf Club may require a post mortem examination of any horse that dies or is euthanised in its jurisdiction.
- (2) If a post mortem examination is to be conducted, the Royal Saint Lucia Turf Club shall take possession of the horse upon death for post mortem examination. All shoes and equipment on the horse's legs shall be left on the horse.
- (3) If a post mortem examination is to be conducted, the Royal Saint Lucia Turf Club or its representative shall collect blood, urine, bodily fluids, or other biologic specimens immediately, if possible before euthanasiation. The Royal Saint Lucia Turf Club may submit blood, urine, bodily fluids, or other biologic specimens collected during a post mortem examination for analysis. The presence of a prohibited substance in a specimen collected during the post mortem examination may constitute a violation.
- (4) All registrants shall be required to comply with post mortem examination requirements as a condition of registration.

## **(16) MISCELLANEOUS OFFENCES**

(1) No person shall: -

- (a) Aid or abet a registered person in breaching the Rules and Regulations of Racing.
- (b) Act or behave or conduct themselves in any violent or improper manner on any land or premises owned, used, registered or controlled by the Stewards or by the Royal Saint Lucia Turf Club.
- (c) Act in a manner prejudicial to the integrity, proper conduct or good reputation of horse racing in Saint Lucia, whether or not such conduct is associated with horse racing or constitutes a breach of any of these Rules.
- (d) Without the permission of the Stewards or the Club associate with any person known to be warned-off or disqualified or known to have done any act likely to cause serious damage to the interests of horse racing in Saint Lucia.
- (e) Misconduct themselves in any way.
- (f) Convey, relay, send, transmit or in any other form communicate betting odds from any racecourse on the days upon which any race meeting is being held, except with the permission of the Stewards.
- (g) Administer or cause to be administered any therapeutic treatment to a horse except for a genuine veterinary reason and only then with the permission of the Official Veterinarian.
- (h) Obstruct the Stewards or any other Officials in the exercise of their powers or duties.
- (i) Without a proper or acceptable reason, withdraw, induce or encourage the withdrawal of a horse from a race.
- (j) Without a proper or acceptable reason, be induced to withdraw a horse from a race.
- (k) Be in any manner involved, directly or indirectly, in the running of a horse in a race for which it has not been entered or declared.
- (l) Enter or cause to be entered in a race a horse that is not owned by the person or persons in whose name it is so entered.
- (m) Accept payment of any training fee and/or charges, in whole or in part, in respect of any horse entered for, or run in a race from any person other than the person in whose name the horse has been entered to run, or the spouse of such person, or his agent.
- (n) Being aware that any horse is not qualified or eligible to run in a race, omit to notify the Stewards or any other Official.

- (o) Interfere with, threaten or intimidate an Owner, Trainer or Jockey of any horse or an employee in any racing stable.
- (p) Improperly obtain information about a trial, or about any horse in training from any Owner, Trainer, Jockey or anybody else employed in a racing or training stable or in the services of the Owner or Trainer of such horse; and no person shall improperly make such information available.
- (q) Use or be a party to the use of any electric, electronic, mechanical or galvanic apparatus or equipment, directly or indirectly, in relation to any horse.
- (r) Fail to register any matter which is required to be registered in terms of these Rules and Regulations.
- (s) Interfere with, tamper with or intimidate anybody (including any witness) involved in or concerned with any inquiry, investigation, protests/objections or appeal held or to be held under these Rules and Regulations.
- (t) Mislead the Stewards or any other Official on any matter.
- (u) Commit any fraudulent or negligent act with regard to weights or weighing.
- (v) Refuse or fail to attend or remain at any inquiry, appeal, investigation or protest/objection hearing when required to do so in terms of these Rules.
- (w) Without lawful excuse, fail to give evidence at any inquiry, appeal, investigation or protest/objection hearing when required to do so in terms of these Rules.
- (x) Administer or cause to be administered any treatment to a horse on the day on which it has been declared to race (i.e. race day) until such time as the Stewards release such horse after it has raced.

## **(17) INSTRUCTIONS**

- (1) No person shall refuse or fail to comply with the directions and requirements contained in the Instructions of the Stewards. Any such refusal or failure shall be an offence under these Rules, and shall be dealt with according to the penalty provisions of the Rules and Regulations of Racing.